

SEAPORT SECURITIES CORPORATION
Form CRS Customer Relationship Summary June, 2020

<p>Introduction:</p>	<p>Seaport Securities Corporation is registered with the Securities and Exchange Commission (SEC) as a broker-dealer and is a member of the Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC). Brokerage and investment advisory services and fees differ and it is important for you to understand these differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.</p>
<p>What investment services and advice can you provide me?</p>	<p>We offer brokerage services to retail investors, including buying and selling securities on an unsolicited basis. We also offer Fee-based account services. We transact our business (brokerage) only in an agency capacity; we do not act as a Principal in any customer/client transaction.</p> <p>For additional information, please call our office at 212.482.8689.</p> <p>Conversation Starters. Ask your financial professional--</p> <ul style="list-style-type: none"> • Given my financial situation, should I choose a brokerage service? Why or why not? • How will you choose investments to recommend to me? • What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?
<p>What fees will I pay?</p>	<p>You will pay a commission on each transaction, whether it is a buy or sell, for Equity, Fixed Income, Options, Municipals, Mutual Funds or Treasuries. Those rates are published on our website www.seaportsecurities.com</p> <p>In addition to the commission charged, there might be a service fee for postage and handling or service fees per transaction. Such fees appear on each confirmation.</p> <p>There are fees that our clearing firm, National Financial Services (“NFS”) charge, such as annual custody fees for Retirement accounts.</p> <p>If you have a fee-based account, you will pay a quarterly fee which is determined by mutual agreement as evidenced by the Fee-Based agreement in place.</p> <p>{In fee-based accounts, you will pay fees whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.}</p> <p>For additional information, please call us at 212.482.8689 or look to our website, www.seaportsecurities.com under “Rate Info – Fee and Margin Interest Rate Tables” for more fee information.</p> <p>Conversation Starters. Ask how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?}</p>

<p>What are your legal obligations to me when providing recommendations? How else does your firm make money and what conflicts of interest do you have?</p>	<p>IF and when we provide you with a recommendation, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money might create some conflicts with your interests. You should understand and ask us about these conflicts because they affect the recommendations we provide you. Here are some examples to help you understand what this means.</p> <ul style="list-style-type: none"> • Third-party payments • Revenue sharing • Fee-Based accounts <p>Conversation Starter. Ask your financial professional— • {How might your conflicts of interest affect me, and how will you address them?}</p> <p>For additional information, please call 212.482.8689</p>
<p>How do your financial professionals make money?</p>	<p>All of Seaport’s employees receive a base salary. None are commission sales people. The fixed salary is paid bi-weekly and a discretionary bonus might or might not be paid quarterly or annually, based on the Firm’s overall financial performance.</p>
<p>Do your financial professionals have legal or disciplinary history?</p>	<p>No – None of our employees have any legal or disciplinary history.</p> <p>Visit Investor.gov/CRS for a free and simple search tool to research us and our financial professionals.</p> <p>Conversation Starter. Ask your financial professional— • {As a financial professional, do you have any disciplinary history? For what type of conduct?}</p>
<p>Additional Information</p>	<p>For additional information about our services or up-to-date information or a copy of this disclosure, please call 212.482.8689.</p> <p>Conversation Starter. Ask your financial professional— {Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?}</p>