# Corporate and Unincorporated Organization Resolution

Use this form to authorize an account to be opened in the name of the Corporation or Unincorporated Organization ("Company") with National Financial Services LLC ("NFS"), and establish, add, or change those officers or individuals authorized by Resolution to transact business on the account.

#### Helpful to Know

- Complete all applicable sections of this form.
- The authorized individuals named on this form will have the authority to act in all capacities to trade and perform account maintenance. For more information, refer to the Resolutions.

### 1. Account Information

Enter full entity name as	Entity Name				
evidenced by the relevant					
formation document	Taxpayer ID Number	Required		Country of Organiz	ration
(e.g., trust document, partnership agreement,				country of organiz	
corporate resolution).					
* For foreign entities ONLY.	Type of Government-Issued ID*	ID	Number*		
Ū					
	State/Country of ID Issuance*	ID Issuance Date*		ID Expiration Date	*
Check all that apply. $\blacktriangleright$		U.S. Registere Broker-Dealer	ed 🗌 U.S. Regis	stered nt Advisor	U.S. Registered Investment Company
	Legal Address				
Cannot be a P.O. Box	Address Line 1		Address Line 2		
or Mail Drop.					
	City	State/Province	Zip/Postal Code	· · · · ·	Country
	Mailing Address	I			<u> </u>
	Same as Legal Address				
Complete only if	Address Line 1		Address Line 2		
different from Legal					
Address above.	City	State/Province	Zip/Postal Code	· · · · · · · · · · · · · · · · · · ·	Country
				· · · · · · · · · · · · · · · · · · ·	
	Type of Organization				
Check one.	Corporation				
	Unincorporated Organization				

## 2. Certification Authorizes an account to be opened in the name of the Company with NFS. continued

Provide the name of	First Name	Middle Name	Last Name		
President, Secretary,					
or other Authorized					
dividual. This person	Title				
must also sign in the					
Resolution section.					
Resolution section.	I hereby certify the following:				
	Thereby certify the following.			State	
	A. that the Company identified above is duly organized and exists under the laws of the state of and has the power to take the action called for by the resolutions on this form.				
	B. that the resolutions on this form were	dulv adopted by th	e Board of Directors or Governing Bo	dy of said Company at	

ο.	a meeting held on:	m were duly
	Date MM DD YYYY	

at which a quorum of said Board of Directors was present and acting throughout; that no action has been taken to rescind or amend said resolutions; and, that the same are now in full force and effect and do not conflict with the by-laws of said Company as stated in

Name of Document under which Organization is Operating	

- C. that each of the following, named individuals, has been duly elected (if applicable), is now legally holding the office set under his/her name, and that any one of them acting individually, is authorized to establish the account in the name of the Company with National Financial Services ("NFS"). Each individual is also authorized to purchase, trade, sell (including short sales in margin accounts), assign, withdraw, transfer and/or deliver any and all stocks, bonds, options, or any other assets or securities, listed or unlisted and to establish check-writing and other account-related services in the designated accounts.
- D. that the resolutions are not contrary to any provision in the charter or by-laws of this Company, and that I have been authorized to make this certification to NFS on behalf of this Company.
- E. that any information given on this account agreement is subject to verification and authorizes my Broker-Dealer and/or NFS to obtain a credit or other financial responsibility report with respect to the registered account owner as well as any individual authorized to transact business on behalf of the registered account owner. The undersigned is authorized to express the consent of such authorized individuals to obtain a report, and that such individuals have been notified of the possibility thereof. Upon written request, my Broker-Dealer will provide the name and address of the credit reporting agency used.

#### **3. Authorized Entity** if any

or Indiv

> Provide information on any entity that is authorized on the account. If completing this section, you will be required to submit additional documentation. Ask your investment representative what documentation is needed. Entity Information

	Entity information			
Enter full entity name as	Entity/Trust Name		1	Date of Trust
evidenced by the relevant formation document				
(e.g., trust document,	Taxpayer ID Number	Required	Country of Org	anization
partnership agreement, corporate resolution).		SSN/ITIN EIN/TIN		
1	Type of Government-Issued ID*	ID Number*		
* For foreign entities ONLY.				
If providing an SSN, ensure				
' that the person who is	State/Country of ID Issuance*	ID Issuance Date*	ID Expiration D	ate*
associated with the SSN is listed on this form.				
Check all that apply. $\blacktriangleright$	Entity is a(n): Accredited Investor	U.S. Registered U.S. Reg Broker-Dealer Investme	istered ent Advisor	U.S. Registered Investment Company

# 3. Authorized Entity if any continued

	Legal Address			
Cannot be a P.O. Box or Mail Drop.	Address Line 1 A		Address Line 2	
	City	State/Province	Zip/Postal Code	Country
	Mailing Address  Same as Legal Address	5		
	Address Line 1		Address Line 2	
Complete only if				
different from Legal Address above.	City	State/Province	Zip/Postal Code	Country

# 4. Authorized Individual Information

First Authorized Individu	al 🗌 Sole Officer Check it	f applicable.							
Enter full name as	First Name		Middle Name		Last Name				
evidenced by a government-issued,									
unexpired document (e.g., driver's license, passport, permanent resident card).	Date of Birth MM DD YYYY	Email							
P	Primary Phone			Alternat	e Phone				
			🗌 Mobile						
	Business Title complete if applicab	Business Title complete if applicable							
						1			
	Taxpayer ID Number		Required			Country of Citizens	ship		
			SSN/	TIN	EIN/TIN				
	Type of Government-Issued ID			ID I	Number				
	State/Country of ID Issuance		ID Issuance Dat	e		ID Expiration Date			
	Legal Address								
Cannot be a P.O. Box	Address Line 1				Address Line 2				
or Mail Drop.									
	City		State/Prov	ince	Zip/Postal Code		Country		
	Mailing Address Same as Legal Address								
	Address Line 1				Address Line 2				
Complete only if									
different from Legal Address above.	City		State/Prov	ince	Zip/Postal Code		Country		
Address above.									



	Income Source, Affiliat	ions and Associatio	<b>ns</b> Industry reg	gulations require	e us to ask for thi	s information.
Check one and	Employed	Retired		Not Employed		
provide information. Provide Income Source if retired or not employed.	Occupation		Income Source		Employer Name	
	Address		I			
	City		State/Province	Zip/Postal Code		Country
Check all that apply.	☐ You are an accredite	ed investor, as define	l d in Rule 501(a	) of the Securitie	es Act of 1933.	
	You are associated v account.	with a U.S. registered	Broker-Dealer	that is different	than the Broker	Dealer that will hold this
	You are a member of the management po	of the board of direct plicies of a publicly tr	ors, a 10% shar aded company	eholder, a polic	y-making officer,	, or someone who can direct
	You are employed b of the Securities Exc	y or associated with hange Act of 1934.	the Broker-Dea	aler that will hold	d this account, a	s defined in Section 3(a)(18)
	You are associated v	with a U.S. Registered	d Investment A	dvisor.		
Check all that apply and provide information.						nts, etc.), living in your home a policy-making officer or
	Company Name				CUSIF	P or Symbol
	Regulatory Authority are a child who resic to an associated per support to you and l regulatory organizat	r ("FINRA") member les in the same house son who has control	firm ("associate shold or is finan over your accou account, or you icipal securities	d person"), you cially dependen int or an associa a are affiliated wi dealer.	are the spouse of t on the associat ted person mate ith or employed	d by a Financial Industry of an associated person, you ed person, you are related rially contributes financial by FINRA, any other self-
	Company Name					
	Address Line 1			Address Line 2		
	City		State/Province	Zip/Postal Code		Country

Second Authorized Indivi							
Enter full name as	First Name		Middle Name		Last Name		
evidenced by a							
government-issued,							
unexpired document (e.g., driver's license, passport,	Date of Birth MM DD YYYY	Email					
permanent resident card).							
,	Primary Phone			Alternate	e Phone		
		Г	Mobile				
		L					
	Business Title complete if applicable						
	Taxpayer ID Number		Required			Country of Citizens	ship
			SSN/	/ITIN	EIN/TIN		
	Type of Government-Issued ID				Number		
	State/Country of ID Issuance		ID Issuance Da	ate		ID Expiration Date	
	Legal Address		l			<u>I</u>	
Cannot be a P.O. Box	Address Line 1				Address Line 2		
or Mail Drop.					Address Line z		
· · · · · ·							
	City		State/Pro	vince	Zip/Postal Code		Country
	_		1		I		1
	Mailing Address Same as	Legal Add	ress				
Complete only if	Address Line 1				Address Line 2		
different from Legal Address above.							
Audress adove.	City		State/Pro	vince	Zip/Postal Code		Country
	City		State/FI0	vinice	Zip/Fostal Code		country
	Income Source, Affiliations a	nd Associa	tions Indus	stry reg	ulations require	us to ask for this i	nformation.
Check one and	Employed	Retired			Not Employed		
provide information.		Netileu				- I N/	
Provide Income Source if	Occupation		Income S	ource		Employer Name	
retired or not employed.							
	Address				1		
	City		State/Pro	vince	Zip/Postal Code		Country
			State/110	vince	Liph ostal Code		country
Check all that apply.	☐ You are an accredited inve	stor as def	ined in Rule	501(a)	of the Securitie	s Act of 1933	
· · · · · · · · · · · · · · · · · · ·							
	You are associated with a l	U.S. registe	red Broker-I	Dealer	that is different	than the Broker-D	ealer that will hold this
	account.						
	You are a member of the b					y-making officer, o	r someone who can direct
	the management policies		-				
	You are employed by or as	ssociated w	ith the Brok	er-Dea	ler that will hold	I this account, as c	lefined in Section 3(a)(18)
	of the Securities Exchange						
	You are associated with a l	U.S. Registe	ered Investn	nent Ad	dvisor.		

continued on next page

Check all that apply and provide information.	<ul> <li>You are, or an immediate family/household member is, a senior foreign political figure.</li> <li>You are, your spouse, or any of your relatives (including parents, in-laws and/or dependents, etc.), living in your home (at the same address), is a member of the board of directors, is a 10% shareholder, or is a policy-making officer or can direct corporate management of policies of a publicly traded company (an "Affiliate"). You must provide the</li> </ul>
	information below:

Company Name	CUSIP or Symbol

Check this box if any of these scenarios apply to you. You are registered with or employed by a Financial Industry Regulatory Authority ("FINRA") member firm ("associated person"), you are the spouse of an associated person, you are a child who resides in the same household or is financially dependent on the associated person, you are related to an associated person who has control over your account or an associated person materially contributes financial support to you and has control over your account, or you are affiliated with or employed by FINRA, any other self-regulatory organization ("SRO") or a municipal securities dealer.

Same as employer above. If different, provide the information below.

Company Name			
Address Line 1		Address Line 2	
City	State/Province	Zip/Postal Code	Country

Entor full manage	First Name	Middle Name	Last Name				
Enter full name as evidenced by a	First Name	Middle Name	Last Name				
government-issued,							
unexpired document (e.g.,	Date of Birth MM DD YYYY Email						
driver's license, passport, permanent resident card).							
	Primary Phone	Alterna	te Phone				
		Mobile					
	Business Title complete if applicable						
	Taxpayer ID Number	Required	_	Country of Citizen	nship		
		SSN/ITIN	EIN/TIN				
	Type of Government-Issued ID ID Number						
	State/Country of ID Issuance	ID Issuance Date		ID Expiration Date	9		
	Legal Address						
Cannot be a P.O. Box	Address Line 1		Address Line 2				
or Mail Drop.							
	City	State/Province	Zip/Postal Code		Country		
	Mailing Address 🗌 Same as Legal	Address					
Complete only if	Address Line 1		Address Line 2				
different from Legal							
Address above.	<b>a</b> :						
	City	State/Province	Zip/Postal Code		Country		
	Income Source, Affiliations and As	sociations industry reg	gulations require	e us to ask for this	Information.		
Check one and	Employed Reti	red 🗌	Not Employed				
provide information.	Occupation	Income Source		Employer Name			
Provide Income Source if retired or not employed.							
retired of not employed.	Address						
	Address						
	City	State/Province	Zip/Postal Code		Country		
Check all that apply.	Vou are an accredited investor a	s defined in Rule 501(-	) of the Security	or Act of 1933			
	☐ You are an accredited investor, as defined in Rule 501(a) of the Securities Act of 1933.						
	You are associated with a U.S. registered Broker-Dealer that is different than the Broker-Dealer that will hold this account.						
	You are a member of the board of directors, a 10% shareholder, a policy-making officer, or someone who can direct the management policies of a publicly traded company.						
	☐ You are employed by or associated with the Broker-Dealer that will hold this account, as defined in Section 3(a)(18)						
	of the Securities Exchange Act of 1934.						

☐ You are associated with a U.S. Registered Investment Advisor.

continued on next page

Check all that apply and provide information.	<ul> <li>You are, or an immediate family/household member is, a senior foreign political figure.</li> <li>You are, your spouse, or any of your relatives (including parents, in-laws and/or dependents, etc.), living in your home (at the same address), is a member of the board of directors, is a 10% shareholder, or is a policy-making officer or can direct corporate management of policies of a publicly traded company (an "Affiliate"). You must provide the information below:</li> </ul>				
	Company Name		CUSIP or Symbol		
	<ul> <li>Check this box if any of these scenarios apply to you. You are registered with or employed by a Financial Industry Regulatory Authority ("FINRA") member firm ("associated person"), you are the spouse of an associated person, you are a child who resides in the same household or is financially dependent on the associated person, you are related to an associated person who has control over your account or an associated person materially contributes financial support to you and has control over your account, or you are affiliated with or employed by FINRA, any other self-regulatory organization ("SRO") or a municipal securities dealer.</li> <li>Same as employer above. If different, provide the information below.</li> </ul>				
	Company Name				
	Address Line 1	Address Line 2			

State/Province

Zip/Postal Code

#### 5. Resolutions

City

**Customer Identification Program Notice:** To help the government fight financial crimes, Federal regulation requires your Broker-Dealer to obtain your name, date of birth, address, and a government-issued ID number before opening your account, and to verify the information. In certain circumstances, the Clearing Firm or your Broker-Dealer may obtain and verify comparable information for any person authorized to make transactions in an account. Also, Federal regulation requires your Broker-Dealer to obtain and verify the beneficial owners and control persons of legal entity customers, as applicable. Requiring the disclosure of key individuals who own or control a legal entity helps law enforcement investigate and prosecute crimes. Your account may be restricted or closed if the Clearing Firm or your Broker-Dealer cannot obtain and verify this information. The Broker-Dealer or the Clearing Firm will not be responsible for any losses or damages (including, but not limited to, lost opportunities) that may result if your account is restricted or closed.

Certified copy of certain resolutions adopted by the board of directors or governing body or the members whereby the establishment and maintenance of trading accounts has been authorized. Resolved:

First: That the individuals listed in Section 4 of this form are, and each of them hereby is, authorized on behalf of this Company to establish and maintain one or more accounts which may be margin accounts with the Broker-Dealer and National Financial Services "NFS." The account(s) will be used for the purpose of purchasing, investing in, or otherwise acquiring, selling (including short sales in margin accounts), possessing, transferring, exchanging, or otherwise disposing of, or turning to account of, or realizing upon, and generally dealing in and with any and all forms of securities including, but not limited to, shares, stocks, bonds, debentures, notes, scrip, participation certificates, rights to subscribe, certificates of deposit, mortgages, evidences of indebtedness, commercial paper, certificates of indebtedness and certificates of interest of any and every kind and nature whatsoever, secured or unsecured, whether represented by trust, participating and/or other certificates or otherwise.

The fullest authority at all times with respect to any such commitment or transaction, deemed by any of the officers and/or agents to be proper in connection with, is hereby conferred, including authority (without limiting the generality of the foregoing) to give written or oral instructions to NFS with respect to transactions.

The "authorized individuals" named in Section 4 are authorized to borrow money and securities and to borrow such money and securities from or through NFS and to secure repayment thereof with the property of the Company.

The authorized individuals may bind and obligate the Company to and for the carrying out of any contract, arrangement, or transaction, which is entered into by any officer and/or agent for and on behalf of the Company with or through NFS. The authorized individuals may pay by checks, and/or drafts drawn on the funds of the Company such sums as may be necessary in connection with any of the said accounts.

The authorized individuals may deliver securities and contracts to NFS and deliver securities to and deposit funds with NFS. The authorized individuals may order the transfer or delivery of securities to any other person whatsoever, and/or to order the transfer of record of any securities, to any name selected by any of the said officers or agents, affix the corporate seal to any documents or securities to any name selected by any of the said officers or agents and affix the corporate seal to any documents or agreements, or otherwise to endorse any securities and/or contracts in order to pass title.

Country

The authorized individuals may direct the sale or exercise any rights with respect to any securities and sign for the Company all releases, powers of attorney, trading authorizations, Margin Agreements, Options Contracts and/or other documents in connection with any such account, and to agree to any terms or conditions to control any account.

The authorized individuals may direct NFS to surrender any securities to the proper agent or party for the purpose of effecting any exchange or conversion, or for the purpose of deposit with any protective or similar committee.

The authorized individuals may accept delivery of any securities and appoint any other person or persons to do any and all things which any of the said officers and/or agents is hereby empowered to do.

# 5. Resolutions continued

**Second:** That NFS may deal with all of the persons directly or indirectly by the foregoing resolution empowered, as though they were dealing with the Company directly.

Third: That the Secretary of the Company is hereby authorized, empowered and directed to certify, under the seal of the Company, or otherwise, to NFS:

- A. A true copy of these resolutions
- B. Specimen signatures of each and every person by these resolutions empowered
- C. A certificate (which, if required by NFS, shall be supported by an opinion of the general counsel of the Company, or other counsel satisfactory to NFS) that the Company exists, that its charter empowers it to transact the business by these resolutions, and that no limitation has been imposed upon such powers by the by-laws or otherwise.

**Fourth:** That NFS may rely upon any certification within these resolutions, NFS receives written notice of a change in or rescission of authority, no other form of notice is acceptable, nor shall the fact that any individual previously authorized ceases to be an officer of the Company or becomes an officer under some other title, in any way affect the powers hereby conferred. The failure to supply NFS with written notification of changes does not invalidate any transaction if the transaction is in accordance with authority actually granted.

Fifth: That in the event of any change in the office or powers of persons empowered, the Secretary shall notify changes to NFS in writing. When received, NFS will terminate the powers of the persons previously authorized, and to empower the persons taking the place of the previous persons.

**Sixth:** That the foregoing resolutions and the certificates furnished to NFS by the Secretary of the Company are made irrevocable until written notice of the revocation has been received by NFS.

Seventh: That the Company and its officers indemnify and hold NFS harmless from any claim, loss, expense or other liability for effecting any transactions and acting upon any instructions given by the officers or Secretary of the Company.

#### Signature for Corporation and Unincorporated Organization

This certification must be signed by the President, Secretary or other authorized individual named in the Certification section. The individual signing below certifies that the information provided on this form is true, accurate, and complete.

Print Authorized Individual Name First, M.I., Last	
Authorized Individual Signature	Date MM - DD - YYYY

For Correspondent Use Only							
I, authorized individual for the Broker-Dealer, have reviewed the foregoing and hereby certify to NFS that (i) Broker-Dealer has performed the required due diligence of the account documentation pursuant to Broker-Dealer's obligation as set forth in the clearing agreement between NFS and Broker-Dealer; and (ii) nothing in this Corporate and Unincorporated Resolution conflicts with the applicable business certification document.							
Authorized Individual Signature for Broker-Dealer	Broker-Dealer	Date MM - DD - YYYY					

National Financial Services LLC, Member NYSE, SIPC

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