

Account Number



# Limited Liability Company Agreement

Use this form to authorize an account to be opened in the name of the Limited Liability Company ("the Company") with National Financial Services LLC ("NFS"), and identify those officers or individuals authorized by Resolution to transact business on the account.

Complete all sections. Type on screen or fill in using CAPITAL letters and black ink. If you need more room for information or signatures, use a copy of the relevant page.

## 1. Entity Account Information

Entity Name			
Country of Organization		Country of Tax Residency	
<input type="checkbox"/> SSN <input type="checkbox"/> TIN	Social Security/Taxpayer ID Number	Type of Government-Issued ID	ID Number
State/Country of ID Issuance	ID Issuance Date	ID Expiration Date	

Cannot be a P.O. Box or Mail Drop.

### Legal Address

Address Line 1		Address Line 2	
City	State/Province	Zip/Postal Code	Country

### Mailing Address

Same as Legal Address

Complete only if different from Legal Address above.

Address Line 1		Address Line 2	
City	State/Province	Zip/Postal Code	Country

## 2. Certification

Provide name of President, Secretary, or other Authorized Individual. This person must also sign in Section 4.

Complete this section to authorize that an account be opened in the name of the Company with NFS.

Name	Title

I hereby certify the following:

- A. that the Company identified above is duly organized and exists under the laws of the state of  and has the power to take the action called for by the resolutions on this form.
- B. that the resolutions on this form were duly adopted by the Members of said Company at a meeting held on:

Date MM DD YYYY

at which a quorum of said Members was present and acting throughout; that no action has been taken to rescind or amend said resolutions; and, that the same are now in full force and effect.

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## 2. Certification *continued*

Check all that apply.

C. that each of the following named individuals has been duly elected (if applicable), is now legally holding the office set under his/her name, and that any one of them acting individually is authorized to establish the following account(s):

- Cash  
 Margin  
 Option

in the name of the Company with NFS. Each individual is also authorized to purchase, trade, sell (including short sales in margin accounts), assign, withdraw, transfer and/or deliver any and all stocks, bonds, options, or any other assets or securities, listed or unlisted and to establish checkwriting and other account-related services in the designated accounts. Also, any officer of this Company (other than those listed here) is hereby authorized to certify this resolution to NFS. This authorization shall continue in force until revoked by the above-named Company by a written notice, addressed and delivered to NFS, at its main office.

D. that the resolutions are not contrary to any provision in the certificate of formation and/or operating agreement of the Company, and that I have been authorized to make this certification to NFS on behalf of this Company.

E. that any information given on this account agreement is subject to verification and authorizes my Broker/Dealer and/or NFS to obtain a credit or other financial responsibility report with respect to the registered account owner as well as any individual authorized to transact business on behalf of the registered account owner. The undersigned is authorized to express the consent of such authorized individuals to obtain a report, and that such individuals have been notified of the possibility thereof. Upon written request, my Broker/Dealer will provide the name and address of the credit reporting agency used.

## 3. Authorized Individual Information

Complete this section for each person authorized to transact on the account.

### First Authorized Individual

Check if applicable.

Sole Officer

Provide your name and any other information required by your Broker/Dealer.

Full Legal Name <i>First, M.I., Last</i>			
Social Security Number	Date of Birth <i>MM DD YYYY</i>	Phone	
Title	Email		
Country of Citizenship		Country of Tax Residence	
Type of Government-Issued ID	ID Number	State/Country of ID Issuance	
ID Issuance Date <i>MM DD YYYY</i>	ID Expiration Date <i>MM DD YYYY</i>		

### Legal Address

Cannot be a P.O. Box or Mail Drop.

Address			
City	State/Province	Zip/Postal Code	Country

### Mailing Address

Same as Legal Address

Complete only if different from Legal Address above.

Address			
City	State/Province	Zip/Postal Code	Country

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### 3. Authorized Individual Information *continued*

#### Employer Information and Affiliations

Check one.  Employed  Retired  Not Employed

Provide Income Source if retired or not employed.

Occupation		Income Source		Employer Name	
Address Line 1		Address Line 2			
City	State/Province	Zip/Postal Code	Country		

Check all that apply and provide information.

- You are, or an immediate family/household member is, a senior foreign political figure.
- You are, or an immediate family/household member is, a control person or affiliate of a publicly traded company under SEC Rule 144. *This would include, but is not limited to, a director, 10% shareholder, policy-making officer, and members of the board of directors.*

Company Name	CUSIP or Symbol
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- You are affiliated with, or employed by, a stock exchange, or a member firm of an exchange or Financial Industry Regulatory Authority (FINRA), or a municipal securities dealer.
- Same as employer above. *If different, provide the information below.*

Company Name			
Address Line 1		Address Line 2	
City	State/Province	Zip/Postal Code	Country

#### Second Authorized Individual

Provide your name and any other information required by your Broker/Dealer.

Full Legal Name <i>First, M.I., Last</i>			
Social Security Number		Date of Birth <i>MM DD YYYY</i>	Phone
Title		Email	

Country of Citizenship		Country of Tax Residence	
Type of Government-Issued ID	ID Number	State/Country of ID Issuance	
ID Issuance Date <i>MM DD YYYY</i>	ID Expiration Date <i>MM DD YYYY</i>		

#### Legal Address

Cannot be a P.O. Box or Mail Drop.

Address			
City	State/Province	Zip/Postal Code	Country

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### 3. Authorized Individual Information *continued*

#### Mailing Address

Same as Legal Address

Complete only if different from Legal Address above.

Address			
City	State/Province	Zip/Postal Code	Country

#### Employer Information and Affiliations

Check one.

Employed     Retired     Not Employed

Provide Income Source if retired or not employed.

Occupation	Income Source	Employer Name	
Address Line 1		Address Line 2	
City	State/Province	Zip/Postal Code	Country

Check all that apply and provide information.

- You are, or an immediate family/household member is, a senior foreign political figure.
- You are, or an immediate family/household member is, a control person or affiliate of a publicly traded company under SEC Rule 144. *This would include, but is not limited to, a director, 10% shareholder, policy-making officer, and members of the board of directors.*

Company Name	CUSIP or Symbol
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- You are affiliated with, or employed by, a stock exchange, or a member firm of an exchange or Financial Industry Regulatory Authority (FINRA), or a municipal securities dealer.
- Same as employer above. *If different, provide the information below.*

Company Name			
Address Line 1		Address Line 2	
City	State/Province	Zip/Postal Code	Country

### 4. Resolutions

USA PATRIOT Act Notice: To help the government fight money laundering and the funding of terrorism, federal law and contractual obligations between your Broker/Dealer and us require us to obtain your name, date of birth, address and a government-issued ID number before opening your account, and to verify the information. In certain circumstances, we or your Broker/Dealer may obtain and verify comparable information for any person authorized to make transactions in an account or beneficial owners of certain entities. Further documentation is required for certain entities such as trusts, estates, corporations, partnerships and other organizations. Your account may be restricted if we cannot obtain and verify this information. We or your Broker/Dealer will not be responsible for any losses or damages (including, but not limited to, lost opportunities) that may result if your account is restricted or closed.

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## 4. Resolutions *continued*

**Certified copy of certain resolutions adopted by the board of directors or governing body or the members whereby the establishment and maintenance of trading accounts has been authorized.**

### Resolved

**First:** That the individuals listed in Section 3 of this form are, and each of them hereby is, authorized on behalf of this Company to establish and maintain one or more accounts which may be margin accounts with the Broker/Dealer and National Financial Services ("NFS"). The account(s) will be used for the purpose of purchasing, investing in, or otherwise acquiring, selling (including short sales in margin accounts), possessing, transferring, exchanging, or otherwise disposing of, or turning to account of, or realizing upon, and generally dealing in and with any and all forms of securities including, but not limited to, shares, stocks, bonds, debentures, notes, scrip, participation certificates, rights to subscribe, certificates of deposit, mortgages, evidences of indebtedness, commercial paper, certificates of indebtedness and certificates of interest of any and every kind and nature whatsoever, secured or unsecured, whether represented by trust, participating and/or other certificates or otherwise.

The fullest authority at all times with respect to any such commitment or transaction, deemed by any of the officers and/or agents to be proper in connection with, is hereby conferred, including authority (without limiting the generality of the foregoing) to give written or oral instructions to NFS with respect to transactions.

The "authorized individuals" named in Section 3 are authorized to borrow money and securities and to borrow such money and securities from or through NFS and to secure repayment thereof with the property of the Company.

The authorized individuals may bind and obligate the Company to and for the carrying out of any contract, arrangement, or transaction, which is entered into by any officer and/or agent for and on behalf of the Company with or through NFS. The authorized individuals may pay by checks, and/or drafts

drawn on the funds of the Company such sums as may be necessary in connection with any of the said accounts.

The authorized individuals may deliver securities and contracts to NFS and deliver securities to and deposit funds with NFS.

The authorized individuals may order the transfer or delivery of securities to any other person whatsoever, and/or order the transfer of record of any securities, to any name selected by any of the said officers or agents, affix the corporate seal to any documents or securities to any name selected by any of the said officers or agents and affix the corporate seal to any documents or agreements, or otherwise endorse any securities and/or contracts in order to pass title.

The authorized individuals may direct the sale or exercise any rights with respect to any securities and sign for the Company all releases, powers of attorney, trading authorizations, Margin Agreements, Options Contracts and/or other documents in connection with any such account, and agree to any terms or conditions to control any account.

The authorized individuals may direct NFS to surrender any securities to the proper agent or party for the purpose of effecting any exchange or conversion, or for the purpose of deposit with any protective or similar committee.

The authorized individuals may accept delivery of any securities and appoint any other person or persons to do any and all things which any of the said officers and/or agents is hereby empowered to do.

**Second:** That NFS may deal with all of the persons directly or indirectly by the foregoing resolution empowered, as though they were dealing with the Company directly.

**Third:** That the Secretary of the Company is hereby authorized, empowered and directed to certify, under the seal of the Company, or otherwise, to NFS:

A. A true copy of these resolutions.

B. Specimen signatures of each and every person by these resolutions empowered.

C. A certificate (which, if required by NFS, shall be supported by an opinion of the general counsel of the Company, or other counsel satisfactory to NFS) that the Company exists, that its charter empowers it to transact the business by these resolutions, and that no limitation has been imposed upon such powers by the by-laws or otherwise.



**Fourth:** That NFS may rely upon any certification within these resolutions, and that NFS receives written notice of a change in or rescission of authority (no other form of notice is acceptable), nor shall the fact that any individual previously authorized ceases to be an officer of the Company or becomes an officer under some other title, in any way affect the powers hereby conferred. The failure to supply NFS with written notification of changes does not invalidate any transaction if the transaction is in accordance with authority actually granted.

**Fifth:** That in the event of any change in the office or powers of persons empowered, the Secretary shall notify changes to NFS in writing. When received, NFS will terminate the powers of the persons previously authorized, and empower the persons taking the place of the previous persons.

**Sixth:** That the foregoing resolutions and the certificates furnished to NFS by the Secretary of the Company are made irrevocable until written notice of the revocation has been received by NFS.

**Seventh:** That the Company and its officers indemnify and hold NFS harmless from any claim, loss, expense or other liability for effecting any transactions and acting upon any instructions given by the officers or Secretary of the Company.

**This certification must be signed by the President, Secretary or other authorized individual, named in Section 2. Provide either the Company Seal, in the space below, or Articles of Incorporation/Organization with this form.**

Print President/Secretary/Authorized Individual Name <i>First, M.I., Last</i>	
President/Secretary/Authorized Individual Signature	Date <i>MM DD YYYY</i>
<b>SIGN</b> 	

COMPANY SEAL